

520 Rec'd PCT/PTO 09 NOV 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

Applicant : Malcolm Tom McKECHNIE et al. Art Unit :

Serial No. : PCT/GB97/03317 Examiner :

Filed : December 3, 1997

Title : METHOD FOR CONTROLLING AND REMOVING DUST AND OTHER
PARTICLES FROM A MATERIAL

BOX: PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

STATUS REQUEST

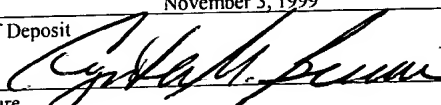
On 26 May 1999, Applicants sent to the Patent and Trademark Office a Form PTO-1390 for the purpose of entering into the United States National Phase of the above-noted PCT application.

Enclosed are copies of the Form PTO-1390, Express Mail Receipt No. EE647279394US, the back of a return-receipt postcard referencing the same Express Mail number and a copy of a check in the amount of \$1,420.

To date, Applicants have not received the return-receipt postcard, or anything else acknowledging receipt of these papers.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

November 3, 1999
Date of Deposit
Signature 

Cynthia M. Berenc
Typed or Printed Name of Person Signing Certificate

09/423399

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Page : 2

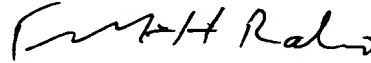
Attorney's Docket No.: 08291-600001

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Applicants hereby inquire into the status of this application.

Respectfully submitted,

Date: 3 Nov 99



Frederick H. Rabin
Reg. No. 24,488

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30001565.doc

| | | | |
|---|---|--|--|
| SUBSTITUTE FORM PTO-1390 | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER 08291/600001 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO (IF KNOWN) 09/423399 | |
| INTERNATIONAL APPLICATION NO. PCT/GB97/03317 | INTERNATIONAL FILING DATE 03 December 1997 | PRIORITY DATE CLAIMED 04 December 1996 | |
| TITLE OF INVENTION METHOD FOR CONTROLLING AND REMOVING DUST AND OTHER PARTICLES FROM A MATERIAL | | | |
| APPLICANT(S) FOR DO/EO/US McKECHNIE, Malcolm Tom; GAYNOR, Paul Terence; HUGHES, John Farrell; and SWINGLER, Jonathan | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (UNSIGNED)</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. below concern other documents or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. - A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information:</p> <p>1) PCT - International Preliminary Examination Report (dated) 13 October 1998</p> | | | |
| | | <p>"EXPRESS MAIL" Mailing Label Number EE647299384US</p> <p>Date of Deposit May 26, 1999</p> <p>I hereby certify under 37 CFR 4.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office To Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p><i>Francisco Rebles</i> <i>Francisco Rebles</i></p> | |

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| U.S. APPLICATION NO. (IF KNOWN) 09/423399 | INTERNATIONAL APPLICATION NO. PCT/GB97/03317 | ATTORNEY'S DOCKET NUMBER 08291/600001 |
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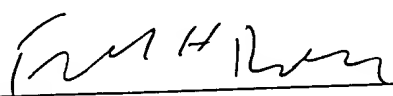
| 17. The following fees are submitted: | | | | CALCULATIONS | PTO USE ONLY |
|--|--------------|--------------|---------|-----------------------|--------------|
| Basic National Fee (37 CFR 1.492(a)(1)-(5)): | | | | | |
| Search report has been prepared by the EPO or JPO \$ 840 | | | | | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482)..... \$ 670 | | | | | |
| No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))..... \$ 760 | | | | | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$ 970 | | | | | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4)..... \$ 96 | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT | | | | \$ 970.00 | |
| Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ 00.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| TOTAL CLAIMS | 32 - 20 | 12 | x \$ 18 | \$ 216.00 | |
| INDEPENDENT CLAIMS | 6 - 3 | 3 | x \$ 78 | \$ 234.00 | |
| | | | + \$260 | \$ 0.00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | | |
| TOTAL OF ABOVE CALCULATIONS | | | | \$1,420.00 | |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28.) | | | | \$ 0.00 | |
| SUBTOTAL | | | | \$1,420.00 | |
| Processing fee of \$130 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.492(f)) | | | | \$ 0.00 | |
| TOTAL NATIONAL FEE | | | | \$1,420.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). | | | | \$ 0.00 | |
| TOTAL FEES ENCLOSED | | | | \$1,420.00 | |
| | | | | Amount to be refunded | |
| | | | | Charged | |

- a. ☒ A check in the amount of \$1,420.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 06-1050 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

FREDERICK H. RABIN
FISH & RICHARDSON P.C.
45 ROCKEFELLER PLAZA, SUITE 2800
NEW YORK, NEW YORK 10111



SIGNATURE _____

Frederick H. Rabin

NAME _____

24,488

REGISTRATION NUMBER _____

09/423399

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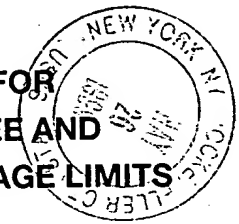


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| FROM: (PLEASE PRINT) PHONE () Frederick E. Rabin, Esq. Fish & Richardson P.C. 45 Rockefeller Plaza, Suite 2800 New York, New York 10111 L 08291/600901 | TO: (PLEASE PRINT) PHONE () BOX: PCT Assistant Commissioner for Patents Washington, D.C. 20231 |

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